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REVIEW ARTICLE

Mechanisms and clinical importance of bacteriophage resistance

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One sentence summary: This review highlights the newest discoveries in the field of bacteriophage defense and discusses the relevance of defense mechanisms for the potential clinical use of bacteriophages as therapeutic agents.

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ABSTRACT

We are in the midst of a golden age of uncovering defense systems against bacteriophages. Apart from the fundamental interest in these defense systems, and revolutionary applications that have been derived from them (e.g. CRISPR-Cas9 and restriction endonucleases), it is unknown how defense systems contribute to resistance formation against bacteriophages in clinical settings. Bacteriophages are now being reconsidered as therapeutic agents against bacterial infections due the emergence of multidrug resistance. However, bacteriophage resistance through defense systems and other means could hinder the development of successful phage-based therapies. Here, we review the current state of the field of bacteriophage defense, highlight the relevance of bacteriophage defense for potential clinical use of bacteriophages as therapeutic agents and suggest new directions of research.

Keywords: phage resistance; phage therapy; innate immunity; adaptive immunity; bacteria; defense

INTRODUCTION

The use of bacteriophages, or phages, as therapeutic agents to treat bacterial infections began immediately after phage discovery in 1917 (Twort 1915; d'Herelle 1917). The initial interest in phages as antibacterial agents faded quickly following the discovery of penicillin two decades later (Wittebole, De Roock and

Opal 2014), although phage therapy remained in use in former Soviet republics like Georgia and Russia (Chanishvili 2012). In recent years, Western medicine has started to reconsider the therapeutic use of phages due to the alarming rise in infections caused by multidrug-resistant (MDR) bacteria (Moelling, Broecker and Willy 2018). However, the success of phage therapy might be limited by the development of phage resistance by

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bacteria, much akin to the resistance developed toward antibiotics. Recently, multiple mechanisms by which bacteria defend against phages have been uncovered (Doron *et al.* 2018; Gao *et al.* 2020), some specific for certain species or strains, others more widespread. Unlike antibiotics, phages can adapt and/or deploy anti-defense systems of their own to overcome the defense mechanisms of bacteria (Iida *et al.* 1987; Atanasiu *et al.* 2002; Otsuka and Yonesaki 2012; Isaev *et al.* 2020; Malone *et al.* 2020; Mendoza *et al.* 2020; Wiegand *et al.* 2020).

The evident complexity of phage-bacteria interactions needs to be considered for phage therapy to be implemented successfully (Roach and Debarbieux 2017). It is unknown how defense systems contribute to and impact resistance formation against phages in clinical settings, and this could be a bottleneck in the development of successful phage-based therapies when left without consideration.

Here, we provide an overview of the current state of the field of natural and acquired phage resistance, highlight the relevance of phage defense for potential clinical use of phages as therapeutic agents and suggest new directions of research.

THE MULTISTEP PROCESS OF BACTERIOPHAGE INFECTION

There are multiple families of bacteriophages, each with specific features that influence their process of infection of a bacterial host. For the purposes of this review, we will focus on phages belonging to the order Caudovirales (Fields, Knipe and Howley 1996), which are known as tailed phages and are the most widely used in clinical applications (Wittebole, De Roock and Opal 2014). Tailed phages have double-stranded DNA genomes and a structure made up of an icosahedral head and a tail, which usually incorporates receptor binding proteins (RBPs) such as tail spikes and tail fibers at the distal end (King 2012). These elements are responsible for the first step of infection, i.e. recognition of specific receptors on the surface of bacteria, and subsequent adsorption of the phage (King 2012). Phage receptors on the bacterial surface are typically peptide sequences or polysaccharides present on the bacterial cell wall, as well as protruding structures such as capsules, pili or flagella (Bertozzi Silva, Storms and Sauvageau 2016; Nobrega et al. 2018). Phage attachment to the host surface often occurs first through a reversible interaction with a receptor, which is then followed by an irreversible binding event to the same or a second receptor (Bertozzi Silva, Storms and Sauvageau 2016). Generally, phages recognize receptors with a great degree of specificity, meaning that the host range of a certain phage is often limited at the adsorption stage by the receptors available on the cell surface (de Jonge et al. 2019).

Adsorption of the phage to its native receptor on the cell triggers ejection of the genetic material of the phage into the host cytoplasm. The mechanism of this complex phenomenon is not yet completely understood for many phage types, but in *Caudovi*rales it commonly involves conformational changes of the phage triggered by binding of the phage RBPs to the receptor that result in the opening of the channel required for DNA release from the capsid (González-García et al. 2015; Wang et al. 2019). In some phages, these conformational changes lead also to the ejection of the tape measure protein that reconfigures into a channel through which the genome translocates into the cell cytoplasm (Boulanger et al. 2008; Cumby et al. 2015; Taylor et al. 2016). In phages with short tails (e.g. *Podoviridae*) proteins ejected together with the genome can work to form a similar channel for genome passage (Leptihn, Gottschalk and Kuhn 2016). The forces behind phage genome ejection into the cell cytoplasm are still unclear, with different models proposed (Molineux and Panja 2013). It seems that thermodynamic and compressing pressures cause the initial release of DNA (Smith *et al.* 2001), with complete ejection being achieved by further hydrodynamic forces and/or bacterial proteins involved in transcription of the initial segment of the phage genome (Kemp, Gupta and Molineux 2004; Choi *et al.* 2008; Panja and Molineux 2010).

If the infecting phage is obligately virulent, which is preferred for phage therapy applications (Drulis-Kawa et al. 2013; Petrovic Fabijan et al. 2020a), the infection follows a lytic cycle once the phage genome is inside the cell. In this case, the phage hijacks the cellular machinery of the bacteria, shutting off the expression of host genes and achieving the replication of its genome and the expression of its own genes (De Smet et al. 2017). For this purpose, some phages rely on the bacterial RNA polymerase (Hinton 2010), while others encode and/or co-inject their own (Drobysheva et al. 2021). The lytic cycle culminates with the expression of late genes, which encode structural proteins and proteins necessary for bacterial host lysis. This ultimately leads to the production of more viral particles that will in the end burst out of the host cell (Hobbs and Abedon 2016). However, if the infecting phage is temperate, it may also follow a lysogenic cycle. In this case, the viral genome persists within the host cell, either introduced in the bacterial chromosome as a prophage or in the bacterial cytoplasm as a plasmid. The lysis–lysogeny decision may depend on peptide-based communication between the viruses (Erez et al. 2017) or on host repressor genes that form part of a quorum-sensing system (Silpe and Bassler 2019).

MECHANISMS OF PHAGE RESISTANCE

Bacteria evade phage infections in different ways. Here, we classify different resistance mechanisms in three main categories:

- Receptor adaptations: random mutations or phenotypical variations in bacteria that result in decreased phage adsorption (Fig. 1).
- Host defense systems: molecular pathways that have specifically evolved in bacteria to prevent or suppress phage infections (Fig. 2).
- Phage-derived phage defense systems: molecular pathways encoded by phages to compete with other phages to the benefit of the host (Fig. 3).

Receptor adaptations leading to phage resistance

In their natural environments, bacteria are subjected to constant selective pressure, which has driven bacteria and phages into an arms race to evolve defense systems and to counter them. The arms race is characterized by high mutation rates and horizontal gene transfer, and leads to rapid evolution of genetic traits and genetic diversity (Takeuchi *et al.* 2012; Puigbò *et al.* 2014; Hampton, Watson and Fineran 2020). Mutations that cause cell surface alterations can result in blockage of phage adsorption, and are therefore directly beneficial to the host.

Bacteria can pose a barrier to phage adsorption by decreasing the availability of the receptors to which phages bind. The acquisition of point mutations in their genome (Fig. 1A) is probably the simplest way by which bacteria can become fully resistant to phages. In fact, mutations in the receptor genes or their regulation have been a common way to identify the receptor of a phage



Figure 1. Host adaptations leading to phage resistance. (A) Point mutations can lead to a loss or modification of the phage receptors (green rectangles), or to downregulation of their expression. (B) Receptor masking proteins like TraT of *Escherichia* coli (pink) can bind to the surface-exposed regions of phage receptors, making them unavailable for the phages. (C) Outer-membrane vesicles (OMVs) presenting phage receptors act as decoys to prevent the phages from encountering the bacteria. (D) An increase in the production of extracellular matrix (light green) leads to phage receptors being physically hidden. (E) Phase variation occurs through three mechanisms: site-specific recombination, slipped-strand mispairing and epigenetic modifications. It can regulate the bacterial phenotype, including the expression of surface proteins like phage receptors.

(Nobrega et al. 2018; Kortright, Chan and Turner 2020). These mutations occur often upon phage challenges, and can lead to a loss or decrease in the gene expression of certain receptors, or to modifications of their structure (Chapman-McQuiston and Wu 2008a,b). For example, E. coli mutates tolC and lipopolysaccharide (LPS) genes to resist infection by phage U136B (Burmeister et al. 2020). Similarly, Acinetobacter baumannii mutates genes involved in the biosynthesis of capsular polysaccharides to avoid infection by phages øFG02 and øCO01 (Gordillo Altamirano et al. 2021). In Listeria monocytogenes, loss or deficiency of wall teichoic acid rhamnosylation leads to resistance to a wide range of phages (Trudelle et al. 2019), and results also in serovar diversification (Eugster et al. 2015). Other proteins involved in phage adsorption and DNA injection, like the phage infection protein from Enterococcus faecalis (PIP_{EF}), can also mutate as a response to phage challenges (Duerkop et al. 2016).

Bacteria may also block phage adhesion by producing proteins that mask or block the phage receptors on the cell surface (Fig. 1B). An example of this is F plasmid-encoded protein TraT, which localizes at the cell outer membrane and binds surfaceexposed regions of the outer membrane protein OmpA in E. coli (Riede and Eschbach 1986). This makes this common phage receptor inaccessible for phage binding. Masking molecules, such as lipoproteins, that bind phage receptors can also be produced by bacteria under stress conditions and are released during bacterial lysis (Decker et al. 1994). Some bacteria also produce and release OMVs (Fig. 1C) that act as cell decoys that capture and inactivate phages (Manning and Kuehn 2011). Another mechanism that can prevent phages from reaching their receptors is upregulating the production of extracellular matrix typically consisting of polysaccharides, proteins, lipids and extracellular DNA (Fig. 1D), in a way that protects the embedded bacteria or subsequent biofilm against phage adsorption (Hanlon et al. 2001; Testa et al. 2019). In Lactococcus lactis, plasmids encoding exopolysaccharide biosynthesis genes can also confer protection against phages (Forde and Fitzgerald 2003).

In addition to this, reversible changes in the regulation of gene expression, a phenomenon known as phase variation



Figure 2. Host phage defense systems. (A) Multiple defense systems act via nucleic acid interference. R-M systems are generally composed of an MTase that methylates endogenous DNA to distinguish it from exogenous DNA, and of an REase that cleaves the exogenous, non-methylated DNA. DISARM interacts with phage DNA to prevent its circularization, thereby blocking its replication or lysogeny. BREX or Ago systems interact with phage DNA and prevent it from replicating without necessarily cleaving it. CRISPR-Cas systems are known as the adaptive immune system of bacteria. The CRISPR array contains sequences of foreign origin that can be transcribed and processed to act as a guide for the Cas endonuclease, which recognizes and cleaves said sequences upon reentry into the bacteria. (B) Abortive infection comprises a series of mechanisms that lead to bacterial cell suicide. An example in which this can happen is through an imbalance in the concentration of toxins and antitoxins in a cell. Another example is through the action of effector proteins that might get activated directly, like in the case of retrons, or via second messengers, like in the case of CBASS or Thoeris. These effector proteins can lead to cell death in several ways, for instance through inner membrane degradation (CBASS) or through NAD depletion (Thoeris). (C) Bacteria can produce secondary metabolites such as daunorubicin (depicted) that intercalate phage DNA and prevent it from circularizing and replicating. (D) Analysis of genetic defense islands has recently led to the discovery of a series of defense systems that are yet to be fully characterized. These include: Hachiman, Shedu, Gabija, Septu, Lamassu, Zorya, Kiwa, Druantia, Wadjet, RADAR, DRTs, AVAST and pVips, among others.

(Fig. 1E), can lead to a decrease in receptor availability (Gencay et al. 2018). These changes can be mediated by site-specific recombination (Dybvig 1993), in which inversion of a DNA segment in the promoter or regulatory region of a gene causes its expression to be turned on or off. This is exemplified by the development of flagella in Salmonella spp. and fimbriae in E. coli (Abraham et al. 1985; Heichman and Johnson 1990; Choi et al. 2013). Other receptors, such as the outer membrane protein Opc of Neisseria meningitidis and the subunits of Bordetella pertussis fimbriae (Willems et al. 1990; Sarkari et al. 1994; Zhou, Aertsen and Michiels 2014), are regulated by slipped strand mispairing, i.e. programmed mutations that occur in defined regions during DNA recombination. Epigenetic modifications, such as altered methylation patterns on DNA sequences (Casadesús and Low 2006), also regulate expression of phage receptors, such as the O-antigen chains of LPSs in Salmonella enterica (Cota et al. 2015).

All of these alterations act directly on phage receptors and decrease the chances of phage adsorption. However, modifications of surface elements can come with a fitness trade-off for the host bacteria, in terms of reduced virulence or survival ability of the host (Alseth *et al.* 2019; Mangalea and Duerkop 2020), limiting the possibility to alter the receptor itself. Due to this, more specific defense systems that target phages within the host cell are also necessary, especially in the context of a complex microbial community (Alseth *et al.* 2019; Broniewski *et al.* 2020).

Host phage defense systems

Bacteria have evolved defense systems dedicated to defense against mobile genetic elements such as phages. Many of them are clustered in regions of the genome known as defense islands (Koonin, Makarova and Wolf 2017), offering an opportunity for discovering new defense systems by analyzing the genetic regions in the proximity of other known defense systems. Such strategy has resulted in a significant and fast expansion of the known arsenal bacteria use to defend against phage infection. We will cover a number of different phage defense systems that have been identified, including those acting on viral nucleic acids and those causing abortive infection of the host.

Nucleic acid interference (Fig. 2A)

The ability to interfere with viral nucleic acids is a common strategy that hosts employ to limit phage invasion and propagation.

One of the most widespread and longest known examples of phage defense systems are those called **Restriction-Modification (R-M) systems** that act on phages with DNA genomes (Luria and Human 1952; Luria 1953). In R-M systems, a methyltransferase (MTase) methylates endogenous DNA at specific sites, protecting it from cleavage by the restriction endonuclease (REase) that recognizes the foreign, unmodified DNA and cleaves it within, close to, or at a distance from the recognition site (Tock and Dryden 2005).

There are four classical types of R-M systems (I-IV), classified according to the characteristics of their specific components (Tock and Dryden 2005). The type I R-M system consists of a protein complex of three subunits with distinct activities, the M (MTase), R (REase) and S (specificity) subunits. The S subunit dictates the target sequence specificity of both methylation and restriction by the protein complex. The abundant type II R-M system features a MTase and REase that work independently as separate proteins. These have been the major source for hundreds of commercially available restriction endonucleases used for molecular cloning. The type III R-M system also expresses the two independent MTase and REase proteins, but these exert their function as a complex. The type IV R-M system does not contain an MTase, and is thought to have evolved in response to some phages evading type I-III R-M systems by modifying their genome to evade restriction. Type IV systems overcome this counterattack by restricting the phage's modified DNA, while the bacterial DNA remains unmethylated (Stewart et al. 2000; Loenen and Raleigh 2014). It is interesting to note that MTases tend to be more conserved than REases, since the latter undergo rapid evolution to keep up with mutations in phage genomes (Gupta, Capalash and Sharma 2012).

R-M systems typically put epigenetic marks on the nucleobases. However, similar systems have been described that modify the sugar-phosphate backbone by introducing a phosphorothioate (substitution of a non-bridging oxygen with a sulfur) (Xu et al. 2010). The **Dnd** system works through the doublestranded phosphorothioation of endogenous DNA by proteins DndABCDE and restriction of foreign, unmodified DNA by Dnd-FGH (Xu et al. 2010). **Ssp** proteins SspABCD also modify the host genome through phosphorothioation, but of only one of the two DNA strands (Xiong et al. 2020). This activity couples with that of SspE, which requires sensing of SspABCD to introduce nicks into foreign DNA, or with that of SspFGH, which indiscriminately damages non-phosphorothioated DNA, inhibiting its replication (Xiong et al. 2020; Wang et al. 2021).

Our knowledge on R-M-related defense systems is continuously expanding as more systems are being discovered through analysis of bacterial genomes. An example is the **DISARM (defense island system associated with restrictionmodification)** systems (Ofir *et al.* 2018), which include MTases (adenine MTase DrmMI and/or cytosine MTase DrmMII) and proteins with domains of predicted helicase (DrmA, DrmD) and phospholipase D/nuclease (DrmC) activities or of unknown function (DrmB, DrmE). Although the exact mechanism of action of DISARM is not yet understood, it is clear that it involves methylation of the host DNA to distinguish self from nonself, and that it prevents phage DNA circularization, thereby blocking DNA replication and lysogeny at an early stage of the infection. It is also postulated that DISARM might collaborate with different R-M elements, achieving a synergistic effect against phage infection (Ofir *et al.* 2018). The **bacteriophage exclusion** (**BREX**) defense system also targets phage DNA upon entrance in the host cell (Goldfarb *et al.* 2015). Similar to R-M systems, BREX methylates host DNA to differentiate it from exogenous DNA. However, BREX does not appear to degrade non-methylated phage DNA, and instead seems to hamper replication of the phage DNA without cleavage (Goldfarb *et al.* 2015). Methylated or glycosylated phage DNA is not sensitive to BREX, but deletion of the methylase gene of this system does not have deleterious effects on the bacteria (Gordeeva *et al.* 2019).

In some bacteria, foreign DNA can also be intercepted by proteins of the Argonaute (Ago) family. These proteins are also present in eukaryotic cells, where they mediate the degradation of exogenous RNA using small interfering- or microRNAs (siRNA, miRNA) as guides to recognize their targets. While this process is not as well studied in prokaryotic cells as it is in eukaryotes, prokaryotic Ago proteins (pAgo) have been found in Thermus thermophilus (TtAgo) and in Rhodobacter sphaeroides (RsAgo) (Willkomm, Makarova and Grohmann 2018; Wu et al. 2020). TtAgo bases its mechanism on DNA-DNA interference rather than the RNA-RNA interference of eukaryotic Ago (Swarts et al. 2014). This protein also has an endonuclease (slicer) domain that allows it to cleave both single-stranded DNA and negatively supercoiled double-stranded DNA, normally of plasmid origin. Although it is unclear how the DNA guides used by TtAgo are formed, it appears that the activity of the protein itself is necessary for their production. RsAgo, in contrast, uses small RNA molecules as guides to target foreign DNA molecules (Miyoshi et al. 2016). Of note, RsAgo lacks the slicer domain, meaning that DNA interference is caused simply by binding the target rather than by cleaving it.

A particular form of nucleic acid interference, CRISPR-Cas (clustered regularly interspaced short palindromic repeats and associated proteins) systems constitute the only form of adaptive immunity described in prokaryotes so far (Mojica et al. 2005; Brouns et al. 2008). They are present in many bacterial genomes, and occasionally in plasmids (Millen et al. 2012). A CRISPR locus in a bacterial genome is composed of a CRISPR array and a cas gene operon. The CRISPR array contains repeats and sequences of foreign origin called spacers, which form the immunological memory of the defense system. The cas operon contains all genes coding for Cas proteins that form the machinery required for immunity. Immunity is achieved via a three-stage process that involves adaptation, expression and interference (Jackson et al. 2017; Hille et al. 2018; Koonin and Makarova 2019). During the adaptation stage, parts of the foreign genetic material are captured and integrated into the CRISPR array as a new spacer (Al-Attar et al. 2011; McGinn and Marraffini 2019). In DNA targeting CRISPR systems, functional spacers are derived from invader sequences that are flanked by a protospacer adjacent motif (PAM), a short nucleotide sequence that ensures the targeting of foreign invaders rather than the genomic CRISPR locus (Gleditzsch et al. 2018). At the expression stage, the CRISPR array serves as a template to transcribe a long precursor CRISPR RNA (crRNA) that is further processed into smaller mature crRNAs. Each crRNA is then loaded into Cas proteins to form an effector complex. At the stage of interference, this effector complex patrols the cell, screening for complementary sequences that are flanked by a PAM. Upon PAM recognition, the foreign genetic

material is cleaved by the Cas proteins, and the infection is contained.

While sharing the general stages described above, CRISPR-Cas systems are characterized by mechanistic variability and are currently classified in two classes, six types and 33 subtypes (Makarova *et al.* 2020b). Class 1 systems, which include types I, III and IV, are characterized by the presence of a multi-subunit Cas complex that is involved in the recognition of invader DNA (type I, IV) or RNA (type III) during the interference stage. Class 2 systems, which include types II, V and VI, employ a singlesubunit effector protein for recognition and cleavage of the foreign DNA (types II, V) or RNA (type VI) sequence. Of the six types described so far, type II is the best-known due to its applications for genome editing technology (Doudna and Charpentier 2014).

In summary, bacteria explore a diverse set of strategies that directly block or cleave phage nucleic acids to survive phage predation.

Abortive infection (Fig. 2B)

Abortive infection (Abi) is a commonly used phage defense strategy in which the cells sacrifice themselves before the phage completes its replication cycle to protect the rest of the population (Lopatina, Tal and Sorek 2020). Many of the Abi systems rely on a **toxin-antitoxin (T-AT)** mechanism, in which the balance between a stable toxin and an unstable antitoxin determines the fate of the cell (Fineran *et al.* 2009; Page and Peti 2016). Infection by a phage triggers repression of the antitoxin promoter or termination of its transcription (Dy *et al.* 2014). The result is that the toxin prevails, causing death of the bacterium. Some of these systems, like ToxIN of *Pectobacterium atrosepticum*, are encoded by plasmids (Fineran *et al.* 2009).

Other common strategies that lead to abortive infection are characterized by the specific depletion of critical cellular resources upon viral infection, including enzymatic cofactors and nucleotides (Snyder 1995). Examples in E. coli include protease Lit, which is activated by the Gol peptide of the T4 major capsid protein and cleaves translation elongation factor Tu to arrest translation (Levitz et al. 1990). Other Abi systems trigger not an individual response but a set of events. For example, exclusion of T7 by the F plasmid-encoded PifA system occurs via reduced synthesis of macromolecules, partially impaired DNA ejection and alteration of membrane permeability (Cheng, Wang and Molineux 2004). In Lactococcus spp., Abi systems that can target phage gene replication and expression are constitutively expressed but are toxic to the cell when overexpressed (Chopin, Chopin and Bidnenko 2005). Notably, most of these lactococcal defense systems are encoded by plasmids (Mills et al. 2006).

Cell suicide upon detection of invading, cytosolic DNA occurs in eukaryotic cells as well. It is mediated by the production of cyclic GMP-AMP, which activates the cGAS-STING pathway (Sun et al. 2013), and causes an upregulation of transcription of inflammatory genes. A similar pathway was found in Vibrio cholerae biotype El Tor, where production of cyclic GMP-AMP (cGAMP) activates a phospholipase that degrades the inner membrane leading to cell death (Cohen et al. 2019). Introduction of the operon encoding this pathway into defective V. cholerae and E. coli strains conferred resistance to a variety of phages, suggesting an important role of this system in antiphage defense. The system, called cyclic-oligonucleotidebased antiphage signaling system (CBASS), has since been found in a broad range of organisms belonging to all major bacterial phyla and at least one archaeal phylum (Millman et al. 2020b). It is thought to be an ancestor of the eukaryotic cGAS-STING pathway.

Mutations in enzymes involved in protein maturation can also be used to prevent the spread of phage infection. In *Streptococcus thermophilus*, a mutation in the methionine aminopeptidase that impairs its catalytic activity was seen to confer resistance to a broad range of phages, seemingly by hampering virion assembly (Labrie *et al.* 2019). While not exactly considered an Abi mechanism, this process comes at the cost of impairing bacterial growth for at least several of the strains studied.

In Vibrio cholerae, a parasitic phage satellite known as **phage-inducible chromosomal island-like element (PLE)** defends the bacterial population from phage attack by functioning akin to an Abi system. PLE are found integrated in the V. cholerae chromosomes and are excised upon infection by ICP1 phages (Seed et al. 2013; O'Hara et al. 2017; McKitterick et al. 2019). Using both PLE- and phage-encoded products (McKitterick et al. 2019; Barth et al. 2020), PLE replicates and hijacks the structural components of the phage to encapsidate its own genome (O'Hara et al. 2017), and uses protein LidI to disrupt the mechanism of lysis inhibition that would normally give ICP1 phages more time to produce new virions (Hays and Seed 2020). Via a combination of structural hijacking and accelerated cell bursting, PLE prevent phage spreading and efficiently protect the bacterial population while transducing their own genome to other cells.

Recently described **Thoeris** seems to operate via an Abi mechanism as well (Ka *et al.* 2020). It presents a protein with a toll-interleukin receptor (TIR) domain which, upon phage infection, produces an isomer of cyclic ADP-ribose (Ofir *et al.* 2021). This molecule acts as a second messenger and activates a protein with catalytic NADase activity, leading to NAD depletion in the infected host. As a result of this, the bacterium presumably dies before phage progeny can mature. TIR domains appear to be specific toward certain phages, and multiple TIR proteins can be present within the same host.

Retrons, bacterial genetic elements composed of a reverse transcriptase (RT) and a noncoding RNA (ncRNA), have also been shown to protect against phage infection via abortive infection (Gao et al. 2020; Millman et al. 2020a). Effector proteins of multiple functions were found associated with the retrons, such as ribosyltransferases, two-transmembrane domain (2TM) genes, and genes with ATPase or HNH endonuclease domains, suggesting a diversity of mechanisms by which abortive infection may be achieved. Characterization of retron Ec48 associated with a 2TM domain gene demonstrates that it acts by sensing inhibition of DNA-repair enzyme RecBCD by proteins of the infecting phage, leading to abortive infection and cell death (Millman et al. 2020a).

More recently, dCTP deaminase and dGTPase proteins have been found to protect bacterial cells from phage infection by degrading deoxynucleotides dCTP and dGTP, efficiently eliminating these from the nucleotide pool (Severin et al. 2021; Tal et al. 2021). Depletion of these deoxynucleotides during phage infection halts phage replication and likely leads to cell death (Tal et al. 2021). While abortive infection responses can be encoded by some of the defense systems listed above, some CRISPR-Cas systems have been found to use this strategy as well. Most well-known systems are the type III CRISPR-Cas systems that produce small signal molecules upon target RNA detection (Athukoralage and White 2021). This molecule then activates unspecific nucleases and other potentially damaging activities in the cell, aborting an infection (Makarova et al. 2020a). Likewise, some type I CRISPR-Cas systems can function with an Abi mechanism. In P. atrosepticum, expression of a type I-F CRISPR-Cas system reduces phage progeny while hampering the survival of infected cells (Watson et al. 2019).

In summary, many abortive infection-like strategies have been identified in which cells typically detect infection and initiate a self-damaging response that hampers the virus in its infection process, saving the remaining population of cells.

Chemical defense (Fig. 2C)

It is well documented that bacteria produce secondary metabolites, among which are compounds with antimicrobial activity (Davies 2013). Recently, a panel of bioactive compounds was tested to assess whether they could confer protection to E. coli against lysis by phage Lambda (Kronheim et al. 2018). Several compounds were identified that allow bacteria to proliferate in spite of the phage challenge. Most are DNA-intercalating agents, four of which produced by Streptomyces spp.: daunorubicin, doxorubicin, epirubicin and idarubicin. These compounds inhibit double-stranded DNA phages targeting Streptomyces coelicolor, E. coli and Pseudomonas aeruginosa. DNA intercalation is thought to prevent the circularization of the phage linear DNA inside the bacterial cytoplasm, or its interaction with proteins involved in replication and transcription.

Uncharacterized defense systems (Fig. 2D)

Bioinformatic analysis of genes in defense system clusters has led to the identification of multiple new defense systems in recent years. One such approach identified several putative defense systems based on the requirement that each putative system must contain at least one annotated protein domain enriched in defense islands. Some of these were experimentally confirmed to grant protection against at least one phage: Thoeris (now classified as an Abi system), Hachiman, Shedu, Gabija, Septu, Lamassu, Zorya, Kiwa and Druantia (Doron et al. 2018). Zorya contains components that resemble parts of the flagellar motor, and is more abundant in Gram-negative species, especially Proteobacteria. Its proposed mechanism of action leads to cell death through membrane depolarization. Another defense system, Wadjet, does not seem to confer phage resistance but seems to target foreign plasmids by a still unknown mechanism (Doron et al. 2018).

Additional defense system candidates were identified using an approach independent of domain annotations (Gao et al. 2020). These candidates incorporate enzymatic activities not previously thought to be implicated in antiviral defense. Among them is the phage restriction by an adenosine deaminase acting on RNA (RADAR) system. RADAR edits RNA transcripts by catalyzing the deamination of adenosine into inosine, seemingly blocking the early stages of the phage infection cycle. Another candidate system identified in this study is the RT family defense-associated reverse transcriptases (DRT). DRTs are not linked to mobile elements, unlike most RTs found in prokaryotes, and they seem to alter phage gene expression in various ways. Antiphage activity was likewise detected in a group of nucleoside triphosphatases (NTPases) of the STAND (signal transduction ATPases with numerous associated domains) superfamily, which were given the name antiviral ATPases/NTPases of the STAND superfamily (AVAST). Members of this superfamily found in eukaryotes are often involved in programmed cell death, so it was postulated that the AVAST system may constitute an Abi mechanism. Additionally, the study found some other proteins and systems that provided protection against T7-like phages, of which the mechanisms of action need to be further investigated.

Another example of an antiphage defense mechanism that has recently started to be characterized is **prokaryotic viperins** (**pVips**) (Bernheim *et al.* 2021). In animals, viperins are interferoninduced proteins that block the replication of several viruses (Helbig and Beard 2014). In a similar way, pVips produce modified ribonucleotides that inhibit viral polymerase-dependent transcription, thereby protecting against infection by phage T7 (Bernheim *et al.* 2021). pVips with antiphage activity were identified by analyzing prokaryotic homologues of human viperin that are encoded in defense islands.

Finally, some novel defense systems that are still uncharacterized have been identified in T4- and T2-like prophages. These are briefly discussed in the following section.

Phage-derived phage defense systems

Interestingly, phages provide bacteria with defense systems against infection by the same or closely related phage, in a phenomenon known as superinfection exclusion (Sie) (Fig. 3A). Some phages produce proteins to mask the cell surface receptors, blocking new infections. This strategy also protects the newly formed phages from being inactivated as a consequence of binding to receptors coming from remains of lysed bacteria. This behavior is observed for example in phage T5, which produces lipoprotein Llp that conceals its own receptor, outer membrane protein FhuA (Pedruzzi, Rosenbusch and Locher 1998). Other phages, mostly prophages (Van Houte, Buckling and Westra 2016), use membrane-anchored or membrane-associated proteins to target and block the entry of phage DNA into the bacterial cytoplasm (Labrie, Samson and Moineau 2010). Such proteins act by inhibiting the formation of the channel through which DNA travels across the cell membrane, by inhibiting the phage lysozyme that degrades the peptidoglycan of the bacterial cell wall, or by changing the conformation of the proteins surrounding the ejection site to prevent translocation (Bondy-Denomy et al. 2016).

Furthermore, prophages can mediate resistance through non-Sie-like mechanisms as well (Fig. 3B). The RexA-RexB system, an Abi system expressed by λ -lysogenic E. coli, works by reducing the membrane potential of the cell, leading to a decrease in ATP production that ultimately results in cell death (Parma et al. 1992). Another example is the phage Panchino of M. smegmatis, which provides lysogens with a single subunit R-M system able to recognize a broad range of phages (Dedrick et al. 2017). Genes encoding repressor proteins that bind phage DNA may also be found in prophages (Pope et al. 2011). They are thought to have a role in protecting the viability of the lysogenized bacteria, counteracting accidental prophage transcription events. Prophage-mediated phenotypic changes in bacteria are sometimes encoded in genetic elements called morons, which are flanked by a promoter and a transcriptional terminator and can be transcribed autonomously, independent of prophage activation (Juhala et al. 2000).

As with host defense systems, the discovery of phagederived defense systems is ongoing. Analysis of Enterobacteria P4- and P2-like prophages recently led to the discovery of genetic hotspots that encode a variety of bacterial immune mechanisms (Rousset *et al.* 2021). Among these is the **phage antirestriction-induced system (PARIS)**. This system triggers an Abi response upon sensing a phage-encoded anti-restriction protein, Ocr, which inhibits R-M systems and BREX (Rousset *et al.* 2021). The mechanisms of action of PARIS and the other systems identified in this study remain to be further uncovered.

In summary, once inside the host, phages themselves can provide the bacteria with mechanisms of protection against further phage infection that favor both the bacteria and the phage.



Figure 3. Phage-derived defense systems. (A) Superinfection exclusion systems (Sie) are encoded by phages to prevent other phages from infecting their host. Some phages like T5 produce proteins that mask their receptor and make it inaccessible. Other phages, especially prophages, encode membrane-associated proteins that interact with the phage receptor, blocking the DNA entry channel, triggering a conformational change or inhibiting the invading phage's enzymes. (B) Prophages like Panchino of Mycobacterium smegmatis can confer resistance to their hosts through the expression of R-M systems or DNA-binding repressor proteins that target the DNA of newly infecting phages. Other prophage-encoded systems, like RexA-RexB or the newly characterized PARIS, can trigger an Abi response upon sensing an invasion by a new phage.

PHAGE COUNTERATTACK STRATEGIES

While the mechanisms of phage resistance exhibited by bacteria seem overwhelmingly varied, phages have also developed a broad array of opposing strategies. Just as bacterial defenses target every step in the process of phage infection, every barrier imposed by bacteria has to withstand a phage counterattack (Stern and Sorek 2011).

In response to variations in the bacterial cell surface receptors, phages are able to change their tropism through mutations in their RBPs. In fact, genes encoding RBPs and other proteins related to host recognition are reported to incorporate mutations at a very high frequency. This is often mediated by the activity of diversity-generating retroelements (DGRs) (Guo *et al.* 2014). These are regions that are subjected to targeted mutation by means of the exchange of two variable repeats by an error-prone reverse transcriptase (Paul *et al.* 2017). This type of directed mutagenesis is template dependent and affects determined adenine-specific sites, while a conserved scaffold sequence is retained to ensure stability. This process was first described for the specificity switch of the major tropism determinant protein in *Bordetella* spp. phages. Since then, more phages have been identified that benefit from these systems (Benler *et al.* 2018). To overcome the barrier imposed by capsules and extracellular layers, some phages became able to bind to these structures (Bertozzi Silva, Storms and Sauvageau 2016), and to degrade them using depolymerases. These enzymes may be either expressed as part of tail spike or tail fiber proteins or released in a soluble form following lysis of infected bacteria (Latka *et al.* 2017). A recent review offers an overview of the diversity of phage depolymerases (Knecht, Veljkovic and Fieseler 2020).

Phages have also developed forms of escaping targeting by R-M systems. They can (i) mutate to remove restriction sites from their genome (palindrome avoidance) and therefore avoid recognition by REases (Rocha, Danchin and Viari 2001; Rusinov *et al.* 2018); (ii) modify the sequences recognized by REases (e.g. the glucosyl-hydroxymethylcytosine of T4 that is used instead of the regular cytosine; Labrie, Samson and Moineau 2010); (iii) change the distance and orientation of restriction sites to avoid restriction by REases that need to recognize two sequences at a determined distance from each other and in a specific orientation (Golovenko *et al.* 2009); (iv) occlude the restriction sites with proteins (e.g. DarA and DarB of P1 phages) that are ejected together with the phage genome (lida *et al.* 1987); (v) sequester REases with proteins that mimic the structure of a DNA double helix (e.g. Ocr from T7) (Zavil'gelskiĭ and Kotova 2014); and (vi) acquire genes encoding an MTase that modifies the phage genome (Hill, Miller and Klaenhammer 1991), or stimulate the activity of the host MTase for the same purpose (Loenen and Murray 1986).

CRISPR-Cas systems can also be evaded by phages in multiple ways (Malone, Birkholz and Fineran 2021). Phages can acquire point mutations or deletions in the PAM sequences or in positions of the protospacer region close to the PAM sequences (i.e. the seed region of the protospacer) (Tao, Wu and Rao 2018). Alternatively, some phages use anti-CRISPR (Acr) proteins, first described in phages of P. aeruginosa (Bondy-Denomy et al. 2012). In general, Acrs work by either preventing recruitment of the crRNA-Cas complex to the target DNA by binding the complex or occluding the PAM sequence, or by inhibiting the endonuclease domain so that cleavage cannot take place (Stanley and Maxwell 2018). Glucosylation of phage genetic material has also been shown to protect phages against some CRISPR-Cas systems (Vlot et al. 2018). A different strategy is employed by the jumbo Serratia phage PCH45 and Pseudomonas phage ϕ KZ, which form a protein shell that encloses phage DNA in a nucleus-like compartment, physically shielding it from the CRISPR-Cas complexes (Malone et al. 2020; Mendoza et al. 2020). Of note, this mechanism does not protect the phage from RNA-targeting CRISPR-Cas systems, as the transcribed mRNA is not contained within the nucleuslike compartment during translation.

Abi mechanisms can also be outsmarted by phages. Phages can avoid toxin-antitoxin mechanisms by inhibiting the protease that degrades the antitoxin, or by expressing their own antitoxin analogue (Blower et al. 2012; Otsuka and Yonesaki 2012; Sberro et al. 2013). Furthermore, mutations in genes involved in the metabolism of nucleic acids also prove to be effective in avoiding toxin-antitoxin systems of some bacteria like Lactococcus spp. (Samson, Bélanger and Moineau 2013). Mutations in phage genes encoding peptides that activate Abi-associated enzymes, such as the Lit activator Gol peptide in the major head protein of T4, can also result in hindering of the Abi mechanism (Bingham et al. 2000). Phages ICP1 that infect V. cholerae overcome PLE-mediated Abi by using either a phage-encoded CRISPR-Cas system that targets the PLE genome during infection (Seed et al. 2013), or an endonuclease that binds and cleaves the PLE origins of replication (Barth, Nguyen and Seed 2021). It is expected that phages possess countermeasures against the more newly described defense systems as well. The Ocr protein of phage T7, known to inhibit R-M systems, was recently found to also inactivate the BREX system by binding the methyltransferase BrxX (Isaev et al. 2020). The discovery of other new phage counterattack strategies is likely just a matter of time, as interest in bacterial defense mechanisms and phage anti-defenses continues to grow.

PHAGE RESISTANCE MECHANISMS IN A CLINICAL CONTEXT

The number of phage therapy case studies and clinical trials performed in humans has significantly increased in these past years, as the problem of antibiotic resistance aggravates. The efficacy of phage therapy in these studies is quite variable, ranging from negative outcomes to the resolution of severe infections in human patients (Table 1; Table S1, Supporting Information). Interestingly, while phage resistance has been shown to develop quickly *in vitro*, studies in humans have described both the presence (Zhvania *et al.* 2017) and the absence (Khawaldeh *et al.* 2011) of phage resistance *in vivo*. As a consequence of such variable results, there is a lack of consensus in the scientific and medical community about the potential of phages as therapeutic agents.

The human immune response to bacterial infection and the specific phage-resistance mechanisms developed by the bacteria are likely behind the distinct outcomes. The development of an immune response, particularly involving neutrophils, has been shown essential for the success of phage therapy by preventing the outgrowth of phage-resistant mutants (Roach et al. 2017). Multiple studies have demonstrated that phage-resistant phenotypes often associate with decreased pathogenicity, with the strain becoming more susceptible to the human immune defenses (Sumrall et al. 2019). Receptor adaptations such as mutations in bacterial capsule, LPS and other surface components are examples of phage-resistance mechanisms that result in increased immune susceptibility (Cai et al. 2019). Importantly, these surface modifications also often associate with increased antibiotic susceptibility. This effect occurs, for example, in cases where the phage interacts with bacterial structures that function as drug efflux pumps (Chan et al. 2016; Gurney et al. 2020). By mutating the efflux pump to achieve phage resistance, bacteria lose the ability to pump out the antibiotics, thus gaining antibiotic susceptibility as a trade-off (for a review of mechanisms of phage-antibiotic synergism, see e.g. Tagliaferri, Jansen and Horz 2019). Such interactions have been exploited in therapeutic contexts (León and Bastías 2015; Chaudhry et al. 2017; Oechslin et al. 2017; Chan et al. 2018). However, phage-resistance mutations have also been shown to pleiotropically confer increased antibiotic resistance (Burmeister et al. 2020), and other mechanisms of phage resistance (e.g. CRISPR-Cas, R-M systems) may lead to a phage-resistance phenotype that does not render the bacteria more susceptible to the immune system or to antibiotics. In such cases, resistance to phages may develop in vivo even in the presence of a strong immune response.

Unfortunately, the mechanisms underlying phage resistance are seldom, if ever, investigated in human clinical studies and trials, and represent a clear knowledge gap. Most studies look at the safety and/or clinical outcome of phage therapy, and very few have documented the development of phage resistance (Table 1), let alone the mechanisms behind it. There are studies, however, that indicate that addressing and tackling phage resistance can lead to improved treatment outcomes. One such study found phage-resistant clones in a patient suffering from a multidrug-resistant A. baumannii infection after eight days of treatment with intravenous phage therapy (Schooley et al. 2017). Phage-resistance was associated with loss of bacterial capsule and increased extracellular polysaccharide production, and was overcome via an iterative process of phage cocktail formulation that resulted in the resolution of the infection. Of relevance, the phage-resistant phenotype was associated with increased antibiotic sensitivity, suggesting a fitness cost of phage-resistant mutations in vivo. In another study, the association between phage-resistance and increased antibiotic susceptibility was exploited to treat a patient with a chronic multidrug-resistant P. aeruginosa infection of an aortic graft (Chan et al. 2018). The treatment consisted of a combination of the antibiotic ceftazidime and phage OMKO1, which binds to an outer membrane protein that is part of multidrug efflux systems of P. aeruginosa. This combination explored the capacity of the phage to kill the original strain and the ability of ceftazidime to kill any emerging phage-resistant variants with mutations in the multidrug efflux system, to achieve resolution of the infection.

Characterizing the mechanisms of resistance to phages that target pathogens of interest will inform about the relevance that

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Clinical study/case report ^a	Safety	Clinical outcome ^b	Phage resistance ^c	Mechanism of resistance	Ref.
Tibia bone infection with MDR A. baumannii and Klebsiella pneumoniae treated with phages and antibiotics	Well tolerated	Successful	Not found in the patient; found in <i>in vitro</i> experiments with isolates	Mutations in surface adhesin and glycosyl-transferase of the EpsG family (speculated)	(Nir-Paz et al. 2019)
Necretizing pancreatitis patient with an MDR A. <i>bauman</i> nii infection treated with phages and antibiotics	Well tolerated	Successful	A resistant bacterial isolate was found and used to select a new phage	Loss of bacterial capsule and increased extracellular polysaccharide production	(Schooley et al. 2017)
Cystic fibrosis patient with MDR <i>P. aeruginosa</i> infection treated with phages and antibiotics	Well tolerated	Successful	One resistant isolate was identified	Not described	(Law et al. 2019)
Patient with K. pneumoniae urinary tract infection treated with non-active antibiotics and phages	Well tolerated	Successful	Bacteria became resistant to two rounds of phage cocktails but were ultimately sensitive to the combination of a third cocktail and previously inactive antibiotics	Not yet elucidated	(Bao et al. 2020)
Patient with periprosthetic joint infection and MDR P. <i>aeruginosa</i> chronic osteomyelitis treated with phaees and antibiotics	Well tolerated	Successful	Not identified	Not applicable	(Tkhilaishvili et al. 2020)
Particle with MDR P Patient with MDR P aeruginosa uninary tract infection treated with bhases and antibiotics	Well tolerated	Successful	Not identified	Not applicable	(Khawaldeh et al. 2011)
Aorus aeruginosa treated with a phage targeting an efflux pump protein and antibiotics	Well tolerated	Clinical improvement	Yes, as expected. Explored to cause sensitization of bacteria to antibiotic.	Mutations in receptor protein (M of mexAB and mexXY efflux system)	(Chan et al. 2018)
Cystic fibrosis patient with Mycobacterium abscessus and P. aeruginosa infection treated with engineered	Well tolerated	Clinical improvement	Resistance detected in vitro for two of the three phages administered	Not described	(Dedrick et al. 2019)
Netherton syndrome patient Netherton syndrome patient with MDR Staphylococcus aureus infection and allergy to multiple antibiotics treated with phage cocktails topically and orally	Well tolerated	Clinical improvement	Resistance to a phage cocktail was identified after 3 months of treatment	Not described	(Zhvania et al. 2017)

Clinical study/case report ^a	Safety	Clinical outcome ^b	Phage resistance ^c	Mechanism of resistance	Ref.
Thirteen patients with S. aureus bacteremia treated with phages and antibiotics	Well tolerated	Clinical improvement in eight of the patients	Changes in phage susceptibility were detected in vivo in three patients	Single nucleotide polymorphisms were detected in isolates recovered from one of the	(Petrovic Fabijan et al. 2020b)
Three lung transplant patients with MDR P. aeruginosa and Burkholderia dolosa infections treated with phaces and antihiotics	Well tolerated	Clinical improvement in two of three patients	Resistant isolates were identified post-therapy in one of the patients who had a successful outcome	Not described	(Aslam et al. 2019)
with prages and antuctouces Patient with bronchiectasis and M. abscessus infection treated with a phage cocktail and anthinfice	Well tolerated	Failure due to antiphage immune response	Resistant isolates appeared to one out of three phages in the	Not described	(Dedrick et al. 2021)
Eight cardiothoracic surgery batients treated with antibiotics, phages and fibrin glue	Well tolerated except for an increase in inflammation	Successful in five of the patients; two patients showed improvement but died of unrelated complications; one patient did not experience sufficient bacterial clearance and died of sepsis	Bacterial isolates appeared to have mutated in one of the patients	Not described	(Rubalskii et al. 2020)
Ten patients with diverse MDR bacterial infections treated with intravenous phage cocktails and antibiotics	Well tolerated except by one patient, who developed fever, wheezing and shortness of breath after first dose of the cocktail (subsequent doses were well rolerated)	Successful for seven out of ten patients	Resistance developed in three of the patients, but was overcome through administration of phages specific for the resistant isolates (two of these cases still had a successful outcome)	Not described	(Aslam et al. 2020)
Phases I-II clinical trial to assess the safety and efficacy of a phage cocktail to treat burn wounds infected with <i>P. aeruginosa</i> in 26 patients (13 treated, 13 control)	Fewer adverse events were observed in the phage-treated group than in the group treated with the standard of care	Trial was stopped because of insufficient efficacy (patients were being exposed to 10 000-fold lower doses of phages than originally intended)	Intermediately susceptible and resistant isolates were found	Not described	(Jault <i>et al.</i> 2019)
Report of phage therapy performed on 153 patients with different infections	Diverse	Diverse	Resistance and changes in the phage typing profile were detected in multiple cases	Not described	(Międzybrodzki et al. 2012)

Table 1. Continued

each phage defense system has in a clinical context, in terms of frequency with which they occur in pathogens and their association with virulence and antibiotic susceptibility of the pathogen. Furthermore, certain natively present defense systems like R-M may affect and reduce the choice of phages available to use in a therapeutic setting. Another issue to consider is that the development of resistance (as well as treatment efficacy) may significantly differ when using single- or multi-phage treatment approaches, and may also vary with the timing and order of phage administration (Wright et al. 2019). The more widespread use of bacteriophages for therapeutic purposes could lead to selection for phage-resistant phenotypes that arise through horizontal gene transfer of phage defense systems. Understanding the complexity of interactions and mechanisms leading to phage resistance will aid the development of phage-based treatments with better clinical outcomes and to engineered phages that may overcome host defense systems.

CONCLUDING REMARKS

In this review, we have provided an overview of the current knowledge of mechanisms behind existing and developing phage resistance, and highlighted the potential knowledge gaps and clinical importance of phage resistance for phage therapeutic strategies. While our understanding of the mechanisms behind phage resistance has expanded in recent years, many defense systems remain uncharacterized or yet undiscovered. As such, the complete picture of phage resistance development remains elusive, especially in the context of the human body.

For phage therapy to move forward, it is imperative that clinical studies and trials also assess the development of resistance in a systematic manner, in which both the emergence of phage resistance and the mechanisms behind it are included in the investigation. Sequencing technologies and genome analysis of both bacterial strains and phages may allow for the identification of defense and anti-defense systems in clinical isolates. Such data will prove invaluable for isolating and selecting candidate phages, as well as for predicting the outcome of the therapeutic intervention.

Improved understanding of how defense systems affect phage therapy, combined with an increased knowledge of the anti-defense strategies employed by phages to counteract bacterial defenses, will greatly contribute to the development of more effective phage-based therapeutic approaches.

SUPPLEMENTARY DATA

Supplementary data are available at FEMSRE online.

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